DR 8409 (12/30/14)

COLORADO DEPARTMENT OF REVENUE
Liquor Enforcement Division

Liquor Enforcement Division P O Box 173350 Denver CO 80217-3350 303-205-2300

Colorado Liquor or 3.2% Fermented Malt Beverage Public Transportation, Wholesale, Manufacturer, Importer License Application

☐ New License ☐ Transfer Of Ownership									
 All answers must be printed in black ink or typewritten Applicant must check the appropriate box(es) 									
Applicant should obtain a copy of the Colorado liquor and beer code: www.colorado.gov/enforcement/liquor									
1a. Applicant is applying as a/an					= -	ividual			
Corporation					Lim	nited Li	ability Company		
Partnership (includes Limited Liability and Husband and Wife Partnerships) Association or Other									
1b. Name of Applicant(s) If partnership, list partners' names (at least two); if corpo				ration, name of corporation			FEIN Number		
2a. Trade Name of Establishment (DBA)			State Sales Tax Numl			Business Telephone			
3. Address of Premises (specify exact location of premises)									
City	С			County			ZIP Code		
4. Mailing Address (Number and Str	eet)	City o				State	ZIP Code		
5. Email Address						1			
6. If the premises currently has a liqu	or or beer license, you r	must answer	the follo	wing quest	ions				
Present Trade Name of Establishmen	t (DBA)	Present State	e Licens	se Number	Present Class of Licer	nse	Present Expiration Date		
So	ection A			Section D			Liquor License Fees		
☐ Application Fee for New Licen	se	\$60	00.00	☐ Public	Transportation Licens	e e			
☐ Application Fee for Transfer\$600.00			\$75.00 X (# Units) Total Fee						
Section B			☐ Manufacturer's License (Brewery)300.00						
□ 3.2% Beer Wholesale License\$150.00			☐ Manufacturer's License (Winery)300.00						
☐ 3.2% Beer Writilesale License				Manufacturer's License (Distillery or Rectifier) 1 050 00					
				☐ Whole	esale Liquor License (\	/inous	& Spirituous) 1,050.00		
3.2% Beer Importer's License\$150.00 3.2% Nonresident Manufacturer License\$150.00			☐ Wholesale Beer License (Malt Liquor)550.00						
Section C			Importer's License (Malt Liquor)						
☐ Sole Source Registration		Tot	tal Egg		•	-	uous Liquor)300.00		
☐ Product Registration			tal Fee		-		70.00		
Froduct Registration	9 5.00 X	10	iai i ee	☐ Nonre	sident Manufacturer's	Licens	e (Malt Liquor)300.00		
Questions? Visit: www.colorado.gov/enforcement/liquor for more information									
Do not write in this space - For Department of Revenue use only									
License Assessmt Newschar	Liohility Data	Liability			and Through (Comin-4)	Dota'	Total		
License Account Number	Liability Date			License issi	ued Through (Expiration	ı Date)	Total		
							\$		

Application Documents Checklist and Worksheet

Instructions: This check list should be utilized to assist applicants with filing all required documents for licensure. **All** documents must be properly signed and correspond with the name of the applicant <u>exactly</u>. **All** documents must be typed or legibly printed. Upon final State approval the license will be emailed to the applicant. Application fees are nonrefundable.

Questions? Visit: www.colorado.gov/enforcement/liquor for more information

	Items submitted, please check all appropriate boxes completed or documents submitted						
I.	Applicant information						
	 □ A. Identify applicant/licensee □ B. Identify license type or other transaction □ C. Identify state sales tax license number (transportation applicant only) □ D. Submit original application 						
II.	Diagram (wholesalers, transportation and instate manufacturers)						
	 A. 8 1/2" X 11" diagram of property to be licensed B. Address and diagram of premises where liquor is to be stored (transportation and wholesale applicants only) 						
III.	Proof of property possession (not required for importers and nonresident manufacturers)						
	 A. Deed in name of the applicant only, or B. Lease in the name of the applicant only and valid for at least one (1) year from the date of license issuance, or C. Lease assignment in the name of the applicant only with proper consent from the landlord and acceptance by the applicant D. Copy of vehicle Registration (transportation applicant only) E. Copy of vehicle lease (transportation applicant only) F. Other agreement if applicable 						
IV.	Background Information (DR 8404-I)						
	 □ A. Complete for each principal (stockholder, officer, director, partner, member, etc.) □ B. Complete fingerprint cards for the same persons mentioned in "A" above. Cards are to be taken to the local police department for fingerprinting. Return the completed cards to this department with a check for \$39.50, per card, made payable to Colorado Bureau of Investigation (Personal checks are not accepted) 						
V.	Sole proprietor/husband and wife partnership						
	□ A. Form DR 4679□ B. Copy of State issued Driver's License or Colorado Identification Card for each applicant						
VI.	Corporate applicant information (if applicable)						
	 A. Copy of articles of incorporation (date stamped by Colorado secretary of state) and/or B. Certificate of Good Standing if incorporated more than 2 years ago C. Certificate of Authorization if foreign corporation (in-state applicants only) D. List of officers, directors and stockholders of any parent corporation (designate one person as "principal officer") 						
VII.							
VII.	 A. Partnership Agreement (general or limited). Not needed if husband and wife B. Certificate of Good Standing (if formed after 2009) 						
VIII.	Limited Liability Company applicant information (if applicable)						
	 A. Copy of articles of organization (date stamped by Colorado Secretary of State's Office) and/or B. Certificate of Good Standing if organized more than two years C. Copy of operating agreement D. Certificate of Authorization if foreign company (in-state applicants only) 						
IX.	Other Permits						
174.	A. Copies of all federal Basic permits						
· ·	B. Copy of Colorado PUC authority (transportation applicants only)						
Х.	Product Information ☐ A. Importers and nonresident manufacturers ☐ See Processing Document For Additional Fees ☐ Sole source of supply/Brand Registration (DR 8440) ☐ Copy of Geographic Territory Agreement (beer importers only): a properly executed and binding agreement showing, for each wholesaler, the designated products to be sold and territories assigned for product distribution ☐ Copies of federal brand label approvals ☐ B. Wholesalers ☐ Disclose your Colorado licensed importer ☐ Copy of Geographic Territory Agreement (Beer only): a properly executed and binding agreement from the Colorado licensed manufacturer or importer showing the designated products being sold and territories for product distribution						
	C. In-state Manufacturers1. If not submitting labels for federal approval, submit product registration for state approval						
XI.	Application Review						
	□ A. Complete in all sections□ B. Sign Application						

	s the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation) or manager under the age of twenty-one years?							Yes	No
8. Has the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation) or manager ever (in Colorado or any other state):									
(a) Been denied an alcohol beverage license?							П		
(b) Had an alcohol beverage license	e suspended	d or revoked?							
(c) Had interest in another entity that	at had an ald	cohol beverage license suspe	ended o	r revoked?					
If you answered yes to 8a, b or c, explain in	detail on a	separate sheet.							
9. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any									
current financial interest in said business including any loans to or from a licensee on a separate sheet. 10. Does the applicant, as listed on line 2b of this application, have legal possession of the premises from the date that this license will be issued by virtue of ownership, lease, or other arrangement? (in state applicants)									
Ownership Lease		er (explain in detail)	·)						
a. If leased, list name of landlord, tenal	nt, and date	of expiration exactly as they	/ appea	r on the lease	•				
Landlord				Tenant		[1	Expires		
b. Is a percentage of alcohol sales incl	uded as con	npensation to the landlord? If	f yes co	mplete questi	on #11				
c. Attach a diagram and outline or desi							partitions	,	
entrances, exits and what each room s							201 1		
11. Who, beside the owners listed in this a money, inventory, furniture or equipme									
Last Name		First Name		<u> </u>	FEIN or SSN		Interest/F		
Lastrams		Thornamo		Date of Birth	2			0.00	mago
Last Name	First Name		Date of Birth FEIN or SSN			Interest/Percentage			
Attach copies of all notes and copyrity instri	ımanta and	any written agreement or d	otoilo of	f any aral agra	oment by which any	noroon (i	inaludina		
Attach copies of all notes and security instruments, and any written agreement, or details of any oral agreement, by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation.								j to	
12. If applicant is a corporation, partnership						s. aener	al partne	ers. a	nd
managing members. In addition applicant	must list any	y stockholders, partners (incl	uding li	mited partner)	and members with ov	vnership	of 10%	or mo	re
in the applicant. All persons listed below must also attach form DR 8404-I (Individual History Record) and submit fingerprint cards to the state licensing authority.								g	
Name	Home Addr	ess, City & State			Date of Birth	Position	ı	% O	wned
Name	Home Addr	ess, City & State			Date of Birth	Position		% O	wned
Name	Home Addr	ess, City & State			Date of Birth	Position		% O	wned
		•							
Name	Home Addr	ess, City & State			Date of Birth	ate of Birth Position		% O	wned
Name	Home Addr	ess, City & State			Date of Birth	Position	1	% O	wned
		•							
Name	Home Addr	ess, City & State			Date of Birth	Position	า	% O	wned
Name	Home Addr	ess, City & State			Date of Birth	Position	1	% O	wned
Name	Home Addr	ess, City & State			Date of Birth	Position	า	% O	wned
Name	Home Addr	ess, City & State			Date of Birth	Position	1	% O	wned
Name	Home Addr	ess, City & State			Date of Birth	Position	1	% O	wned

^{**}Limited Liability Companies and Partnerships - 100% of ownership must be accounted for on question #12.

**Corporations - The President, Vice-President, Secretary and Treasurer must be accounted for on question #12 (Include ownership percentage if applicable)

13. Colorado Manufacturer, Wholesaler or Limited Winery applicants, answer the following: (a) Will the applicant store or sell alcohol beverages at more than one location in Colorado? If yes, provide the address of each							Yes	No	
	location and explain the activity to be conducted at each location (e.g., warehouse, salesroom, etc.) on a separate sheet. (b) If applicant is a wholesaler, does or did any owner, partner, shareholder, director, officer, member or manager have any direct or indirect financial interest in a wholesaler, retailer, manufacturer or importer already licensed by the State of Colorado to sell malt, vinous or spirituous liquor? If yes, explain in detail on a separate sheet.								
	(c) Does the applicant have a valid federal Basic permit or Brewers notice? If yes, attach a copy; if no, explain whether one has been applied for on a separate sheet.					en			
		Please use a separate s	heet of paper for	any explanations					
14. Nonresident Manufacturer (3.2% beer or malt liquor) or Importer (3.2% beer, malt, vinous or spirituous liquor) applicants, answer the following:									
(a) To what Colorado licensed wholesaler do you intend to ship your merchandise?									
(b) Does or did any owner, partner, shareholder, director, officer, member or manager have any direct or indirect financial interest in a wholesaler, retailer, manufacturer or importer already licensed by the state of Colorado to sell malt, vinous or spirituous liquor? If yes attach explanation in detail.									
	(c)	Does the applicant have a valid federal Basic permit or Brewe	ers notice? If yes, a	attach a copy; if no, explain in detail.					
	(d) Are you the primary source of supply in the U.S.? If no, explain.								
	(e) Are all your products registered in Colorado? If no, attach DR 8440 and register said products.								
		Please use a separate sheet of	of paper for any e	xplanations					
15. Public Transportation System license applicants, answer the following:									
	(a)	How many vehicles; cars, buses, planes or other conveyance	es (units) will serve	alcohol beverages in Colorado?					
(b) Does the applicant have possession by title or lease of all of the units described above?									
	(c)	Does applicant have PUC authority? (attach copy of authority	/)						
		Where will liquor be stored? (list address below)							
Stree	t Add	dress		City	State	Zip			
Oath Of Applicant I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license.									
Autho	rize	d Signature Prin	nted Name and Title	е		Date			
Report and Approval By State Licensing Authority									
Each	per	son required to file DR 8404-I		-					
		Been fingerprinted							
☐ Background and NCIC and CCIC check for outstanding warrants conducted									
For V	Vhol	esalers and Manufacturers only							
The liquor licensed premises has been inspected by an agent of the state licensing authority.									
If "no", the building will be completed and ready for inspection by(date)									
(date)									
Ву				Title		Date			